

# 物質科学のための計算数理 II

## Numerical Analysis for Material Science II

8th: Density Functional Theory (1)  
Nov. 30 (Fri)

Lecturer: Mitsuaki Kawamura (河村光晶)

# Schedule (This semester W1, W2)

1. Sep. 28 (Fri) Guidance Y
2. Oct. 5 (Fri) Monte Carlo method O
3. Oct. 12 (Fri) Monte Carlo method O
4. Oct. 19 (Fri) Monte Carlo method O
5. Oct. 26 (Fri) Exact diagonalization Y
6. Nov. 2 (Fri) Exact diagonalization Y
7. Nov. 9 (Fri) Molecular dynamics O (1st report problem will be announced.)
8. Nov. 30 (Fri) Standard DFT code K
9. Dec. 7 (Fri) Density functional theory K
10. Dec. 14 (Fri) Density functional theory K
11. Dec. 21 (Fri) Density functional theory K
12. Dec. 25 ([Tue](#)) (2nd)Report problem K (遠隔講義室)
13. Jan. 11 (Fri) Density functional theory K

※ Lecturers: Y ... Yamaji, K ... Kawamura, O ... Ohgoe

# Schedule in this section (DFT)

1. Nov. 30 (Fri) Standard DFT code
  - First-principles calculation and Density functional theory (Lecture)
  - One-body Schrödinger eq. for periodic system and Bloch theorem (L)
  - Numerical solution of Kohn–Sham (one-body Schrödinger) eq. (L)
  - Hands-on DFT code (Tutorial)
  - Version control system : Git (T)
2. Dec. 7 (Fri) Kohn–Sham eq.
  - Plane-wave basis and Pseudopotentials (L)
  - Iterative eigenvalue solution method (L & T)
3. Dec. 14 (Fri) Self–Consistent loop
  - Hartree potential (Poisson eq.)
  - Brillouin–zone integral (Tetrahedron method)
  - Visualization (T)
4. Dec. 21 (Fri) Total Energy
  - Coulomb potential for periodic point charge (Ewald sum)
5. Dec. 25 ([Tue](#)) (2nd) Report problem **K** ([遠隔講義室](#))
6. Jan. 11 (Fri) Density functional theory **K**

# Today's Schedule

What is the first-principles study  
Density functional theory  
Kohn-Sham method  
Periodic system (Bulk crystal)  
Bloch theorem  
How to solve Kohn-Sham eq. with computer  
DFT code  
Git clone  
Charge density  
Band structure  
Fermi surface  
Density of states (DOS), Partial DOS

# Electrons in materials

$$\left[ -\sum_{n=1}^N \frac{\nabla_n^2}{2} + \frac{1}{2} \sum_{n,n'=1}^N \frac{1}{|\mathbf{r}_n - \mathbf{r}_{n'}|} + \sum_{n=1}^N \sum_{I=1}^{N_{\text{atom}}} \frac{Z_I}{|\mathbf{r}_n - \mathbf{R}_I|} \right] \Psi(\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_N) = E \Psi(\{\mathbf{r}_N\})$$

Single H<sub>2</sub>O molecule :  $N = 10$

Single C<sub>60</sub> molecule :  $N = 360$

Bulk crystal, liquid, glass, ... :

$N > 10^{24}$

Information of electronic structure

- Charge density
- Magnetic moment
- Superconductivity
- Optical spectrum
- etc.

Both numerically and analytically unsolvable.

Can we obtain a few quantities such as

- Ground-state energy
- Ground-state charge density

without solving this equation ?

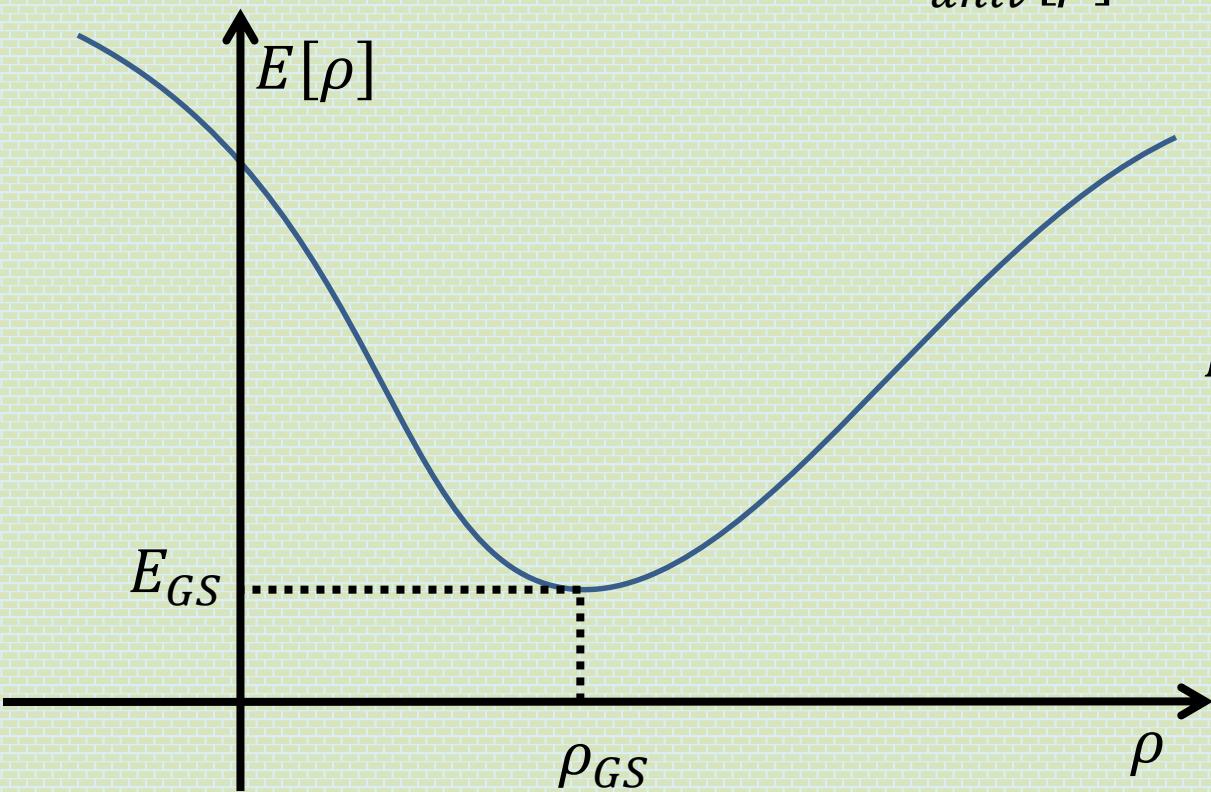
# Density functional theory

$$\langle E \rangle = \int d^3r_1 \cdots \int d^3r_N \Psi^*(\{\mathbf{r}_N\}) \left[ - \sum_{n=1}^N \frac{\nabla_n^2}{2} + \frac{1}{2} \sum_{n,n'=1}^N \frac{1}{|\mathbf{r}_n - \mathbf{r}_{n'}|} + \sum_{n=1}^N v(\mathbf{r}_n) \right] \Psi(\{\mathbf{r}_N\})$$

$$E[\rho] = \int d^3r \rho(\mathbf{r}) v(\mathbf{r}) + E_{univ}[\rho]$$

$$v(\mathbf{r}) \equiv \sum_{I=1}^{N_{\text{atom}}} \frac{Z_I}{|\mathbf{r} - \mathbf{R}_I|}$$

Universal functional  $E_{univ}[\rho]$  exists.



Functional depends on the overall shape of  $\rho$ , e.g.,

$$E_H[\rho] = \frac{1}{2} \iint d^3r d^3r' \frac{\rho(\mathbf{r})\rho(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|}$$

Hohenberg–Kohn theorem  
Phys. Rev. 136, B864 (1964).

# Kohn–Sham method

W. Kohn and L. J. Sham,  
Phys. Rev. 140, A1133 (1965).

$$E_{univ}[\rho] = T_{KS}[\rho] + \frac{1}{2} \iint d^3r d^3r' \frac{\rho(\mathbf{r})\rho(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} + E_{XC}[\rho]$$

Kinetic energy of non-interacting system whose charge density is  $\rho(\mathbf{r})$

$$\left( -\frac{\nabla^2}{2} + v_{KS}[\rho](\mathbf{r}) \right) \varphi_n(\mathbf{r}) = \varepsilon_n \varphi_n(\mathbf{r})$$

$$T_{KS} = 2 \sum_{n=1}^{N/2} \int d^3r \varphi_n^*(\mathbf{r}) \left( -\frac{\nabla^2}{2} \right) \varphi_n(\mathbf{r})$$

$$\rho(\mathbf{r}) = 2 \sum_{n=1}^{N/2} |\varphi_n(\mathbf{r})|^2$$

$$v_{KS}[\rho](\mathbf{r}) = v(\mathbf{r}) + \int d^3r' \frac{\rho(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} + v_{XC}[\rho](\mathbf{r})$$

$$v_{XC}[\rho](\mathbf{r}) \equiv \frac{\delta E_{XC}[\rho]}{\delta \rho(\mathbf{r})}$$

Self-consistent field (SCF)

The exact form of  $E_{XC}[\rho]$  is not known.

Approximate  $E_{XC}[\rho]$

# Local density approximation

$$E_{XC}[\rho] \approx E_{XC}^{LDA}[\rho] \equiv \int d^3r \rho(\mathbf{r}) \varepsilon_{XC}(\rho(\mathbf{r})) \quad \varepsilon_{XC}(\rho): \text{Function (not functional)}$$

$$\nu_{XC}[\rho](\mathbf{r}) \approx \nu_{XC}^{LDA}(\rho(\mathbf{r})) \equiv \frac{\delta E_{XC}^{LDA}[\rho]}{\delta \rho(\mathbf{r})} = \varepsilon_{XC}(\rho(\mathbf{r})) + \rho(\mathbf{r}) \frac{d\varepsilon_{XC}(\rho)}{d\rho} \Big|_{\rho=\rho(\mathbf{r})}$$

$\varepsilon_{XC}(\rho)$ : Use the XC energy of homogeneous-electron gas

Random phase approximation :  $\varepsilon_{XC}(\rho) = -\frac{0.916}{r_s} + 0.0622 \ln r_s - 0.0938$

M. Gell-Mann and K. A. Brueckner, Phys. Rev. 106, 364 (1957).

$$\frac{4\pi}{3} r_s^3 = \frac{1}{\rho}$$

Monte-Carlo method

$$\varepsilon_{XC}(\rho) = -\frac{0.916}{r_s} + 0.031 \ln r_s - 0.0480 - 0.0116 r_s + 0.0020 r_s \ln r_s \quad (r_s < 1)$$

[Monte-Carlo] D. M. Ceperley and B.J. Alder, Phys. Rev. Lett. 45, 566 (1980).

[Parameterize] J. P. Perdew and A. Zunger, Phys. Rev. B 23, 5048 (1981).

$\varepsilon_{XC}(\rho, |\nabla\rho|)$ : Generalized gradient correction (GGA)

# Total energy and force

$$E_{tot} = \int d^3r_1 \cdots \int d^3r_N \Psi^*(\{\mathbf{r}_N\}) \left[ \sum_{n=1}^N \left( \frac{\nabla_n^2}{2} + V(\mathbf{r}_n; \{\mathbf{R}_{N\text{atom}}\}) \right) + \frac{1}{2} \sum_{n,n'=1}^N \frac{1}{|\mathbf{r}_n - \mathbf{r}_{n'}|} \right] \Psi(\{\mathbf{r}_N\})$$

+  $\frac{1}{2} \sum_{I,J=1}^{N_{\text{atom}}} \frac{Z_I Z_J}{|\mathbf{R}_I - \mathbf{R}_J|}$

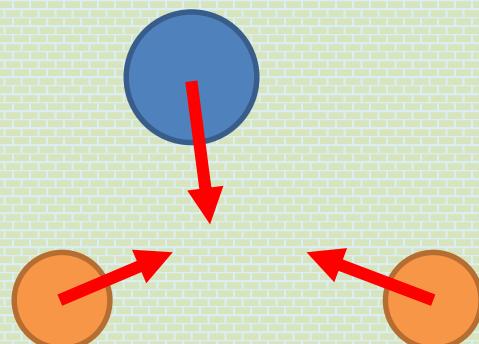
Nuclear–nuclear interaction

Finding the lowest-energy (stable) structure

**Structure optimization** : One of the most popular usage of DFT

$$\mathbf{F}_I \equiv \frac{\partial E_{tot}}{\partial \mathbf{R}_I} = \int d^3r_1 \cdots \int d^3r_N \Psi^*(\{\mathbf{r}_N\}) \sum_{n=1}^N \frac{\partial v(\mathbf{r}_n; \{\mathbf{R}_{N\text{atom}}\})}{\partial \mathbf{R}_I} \Psi(\{\mathbf{r}_N\})$$

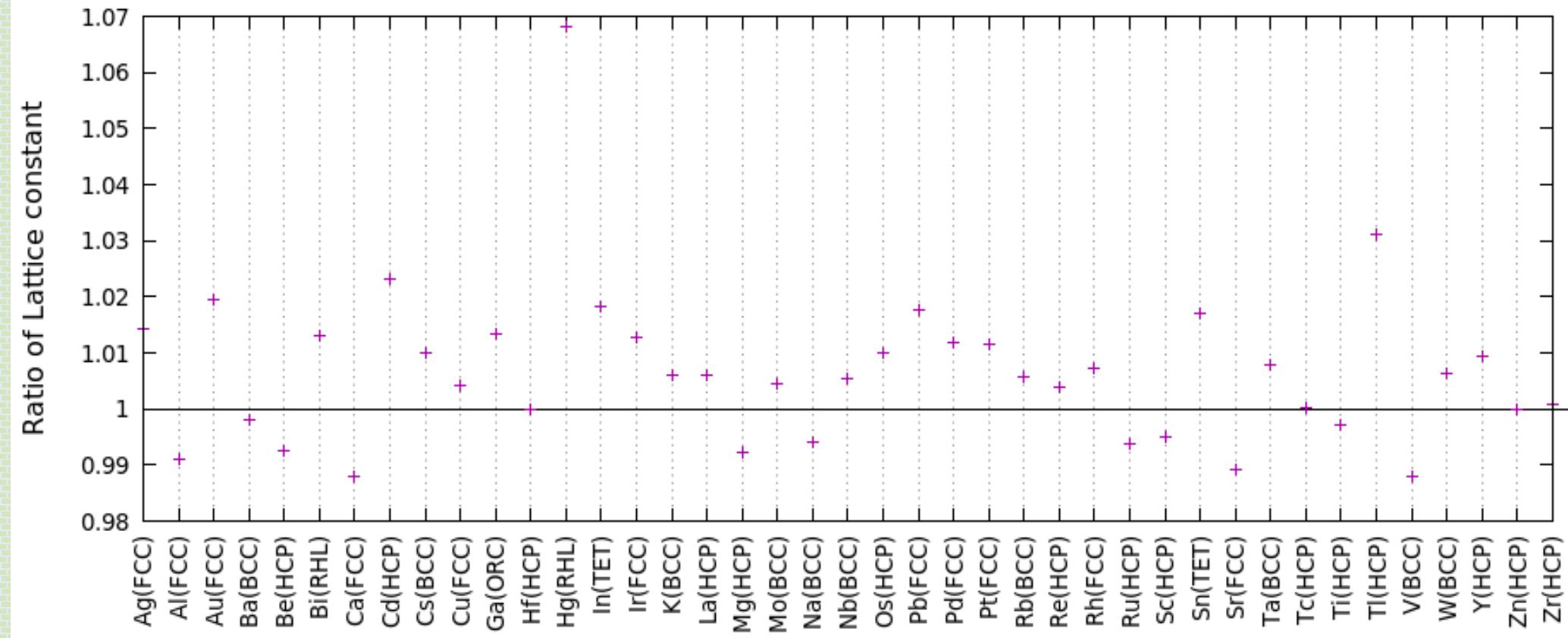
Hellman–Feynmann theorem



$$+ \sum_{J=1}^{N_{\text{atom}}} \frac{Z_I Z_J (\mathbf{R}_I - \mathbf{R}_J)}{|\mathbf{R}_I - \mathbf{R}_J|^3}$$

# Structure optimization

GGA-PBE functional : J. Perdew, K. Burke, and M. Ernzerhof Phys. Rev. Lett. 77, 3865 (1996)



Other usage : Formation (Cohesive) energy

$$A + B \rightarrow C + \text{Energy} \quad E_C - (E_A + E_B)$$

Magnetic moment (Spin density functional theory) :  $E[n_\uparrow, n_\downarrow]$

# Kohn–Sham eq. for periodic system (1)

$$\left( -\frac{\nabla^2}{2} + v_{KS}(\mathbf{r}) \right) \varphi(\mathbf{r}) = \varepsilon \varphi(\mathbf{r})$$

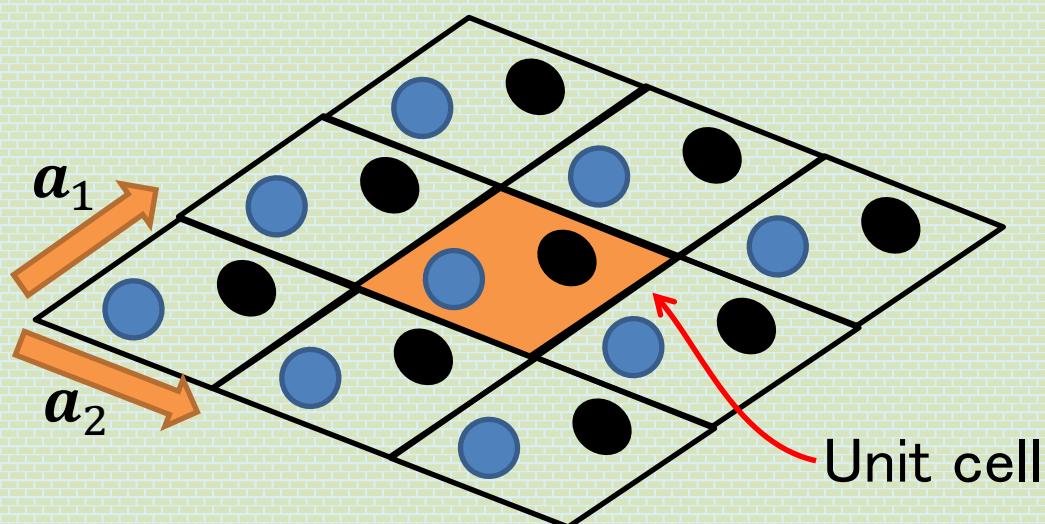
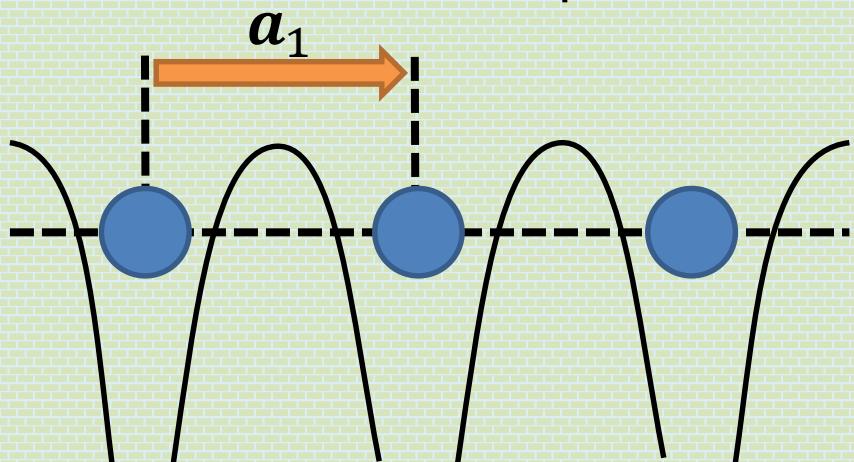
$v_{KS}(\mathbf{r})$  and  $\varphi(\mathbf{r})$  are periodic,  
i.e.,  $v_{KS}(\mathbf{r} + n_1 \mathbf{a}_1 + n_2 \mathbf{a}_2 + n_3 \mathbf{a}_3) = v_{KS}(\mathbf{r})$

Unit lattice vectors  
(Not unique)

$$\rho(\mathbf{r}) = 2 \sum_{n=1}^{N \times N_{uc}/2} |\varphi_n(\mathbf{r})|^2$$

$N$  electrons per unit cell  
 $N_{uc}$  cells  $\rightarrow \infty$

Equation to solve in the **whole region** of bulk crystal



# Kohn–Sham eq. for periodic system (2)

Bloch's theorem

$\varphi(\mathbf{r})$  can be written as

$$\varphi(\mathbf{r}) = \frac{1}{\sqrt{N_{uc}}} e^{i\mathbf{k} \cdot \mathbf{r}} u_{n\mathbf{k}}(\mathbf{r})$$

$$u_{n\mathbf{k}}(\mathbf{r} + n_1 \mathbf{a}_1 + n_2 \mathbf{a}_2 + n_3 \mathbf{a}_3) = u_{n\mathbf{k}}(\mathbf{r})$$

$$\left( -\frac{(\nabla + \mathbf{k})^2}{2} + v_{KS}(\mathbf{r}) \right) u_{n\mathbf{k}}(\mathbf{r}) = \varepsilon u_{n\mathbf{k}}(\mathbf{r})$$

Equation to solve only in the **unit cell**

$$\int_{uc} d^3 r \rho(r) = N$$

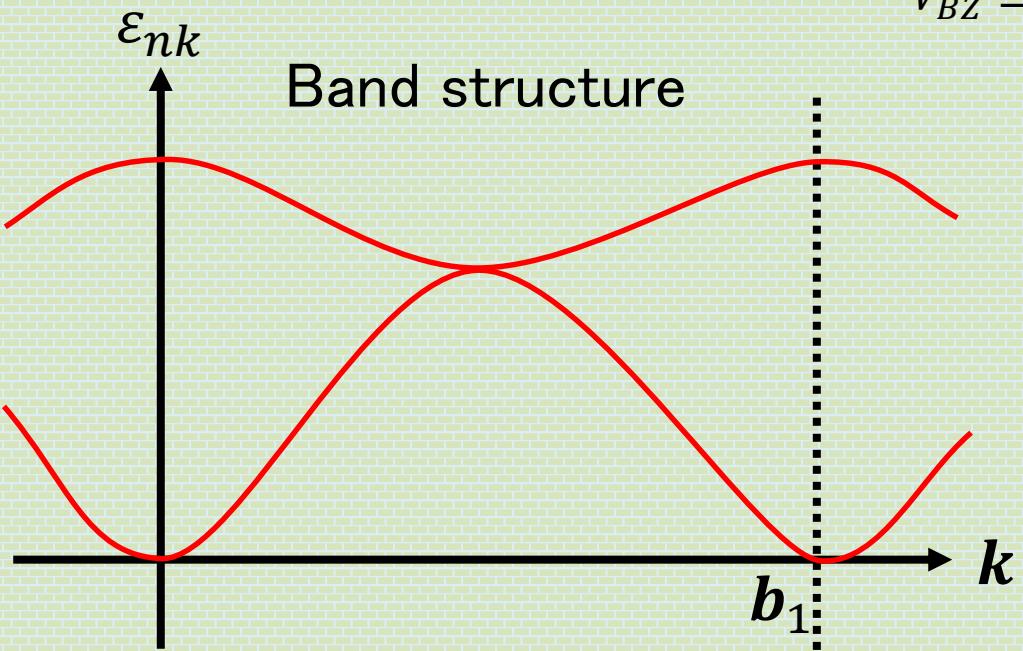
$$\rho(r) = 2 \frac{1}{V_{BZ}} \int_{BZ} d^3 k \sum_{n=1}^{\infty} |u_{n\mathbf{k}}(r)|^2 \theta(\varepsilon_F - \varepsilon_{n\mathbf{k}})$$

$$V_{BZ} \equiv \frac{(2\pi)^3}{V_{uc}}$$

$$\begin{pmatrix} \mathbf{a}_1 \\ \mathbf{a}_2 \\ \mathbf{a}_3 \end{pmatrix} (\mathbf{b}_1, \mathbf{b}_2, \mathbf{b}_3) = 2\pi \hat{I}$$

Unit reciprocal lattice vectors

$\varepsilon_{n\mathbf{k}}$  and  $u_{n\mathbf{k}}(\mathbf{r})$  is periodic with  $\mathbf{b}_\alpha$  in the  $\mathbf{k}$  space.



# One-body energy level

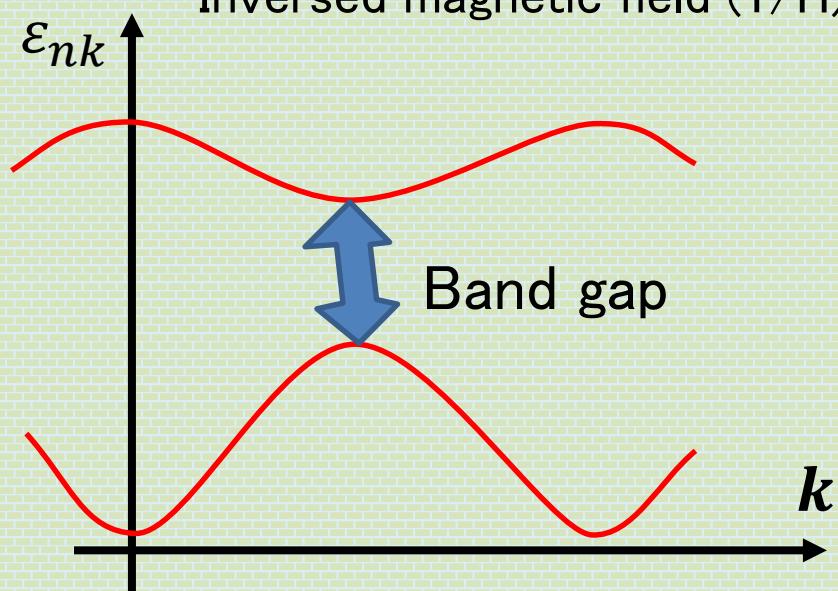
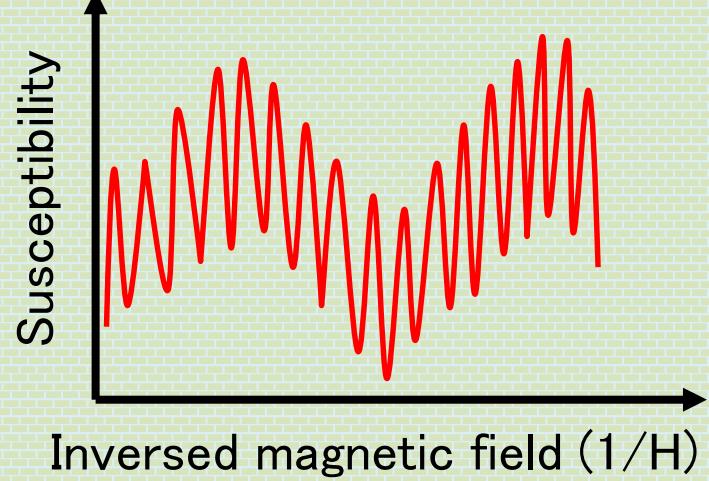
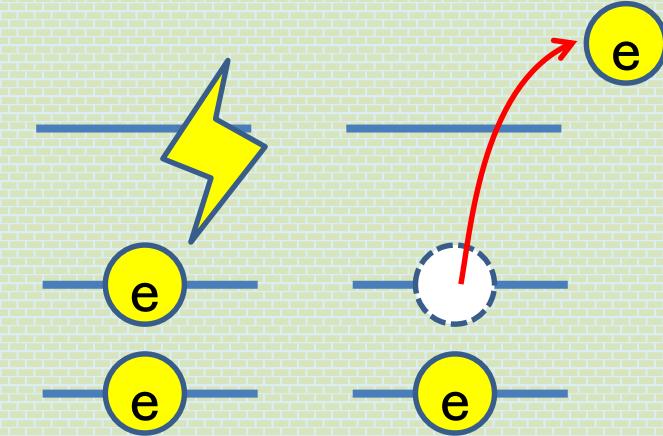
$$\left( -\frac{(\mathbf{v} + i\mathbf{k})^2}{2} + \nu_{KS}(\mathbf{r}) \right) u_{nk}(\mathbf{r}) = \varepsilon_{nk} u_{nk}(\mathbf{r})$$

$\varepsilon_{nk} \neq$  True quasi-particle energy

Qualitative analyzation

- Angle resolved photo electronic spectroscopy
- de Haas-van Alphen oscillation

Unit [eV]	LDA	GW	Exp.
Si	0.54	1.32	1.17
LiCl	6.07	9.34	9.40
AlP	1.52	2.59	2.50
AlAs	1.25	2.15	2.23
AlSb	0.99	1.64	1.68
GaP	1.82	2.55	2.39
GaAs	0.37	1.22	1.52
InP	0.57	1.44	1.42



# How to solve Kohn–Sham eq. : Basis

$$\left( -\frac{(\nabla + i\mathbf{k})^2}{2} + v_{KS}(\mathbf{r}) \right) u_{n\mathbf{k}}(\mathbf{r}) = \varepsilon u_{n\mathbf{k}}(\mathbf{r})$$

$$u_{n\mathbf{k}}(\mathbf{r}) \rightarrow u_{n\mathbf{k}}(\mathbf{r}_i)$$

Huge numerical cost !  
Not efficient.

- Pseudopotential (plane wave, real space grid, FEM)
- Local basis (atomic orbital, Gaussian)
- Augmented wave (complicated basis)
- Mixed basis (PW + Local, augmented + Local)

$$u_{n\mathbf{k}}(\mathbf{r}) = \sum_{\alpha} \tilde{u}_{n\mathbf{k}\alpha} \chi_{\alpha}(\mathbf{r})$$

$$u_{n\mathbf{k}}(\mathbf{r}) = \sum_{\mathbf{G}} \tilde{u}_{n\mathbf{k}}(\mathbf{G}) \frac{e^{i\mathbf{G} \cdot \mathbf{r}}}{\sqrt{V_{uc}}}$$

$$\left( -\frac{(\mathbf{G} + \mathbf{k})^2}{2} + \hat{v}_{KS} \right) \tilde{u}_{n\mathbf{k}}(\mathbf{G}) = \varepsilon \tilde{u}_{n\mathbf{k}}(\mathbf{G})$$

Plane waves below the  
**Cutoff frequency**

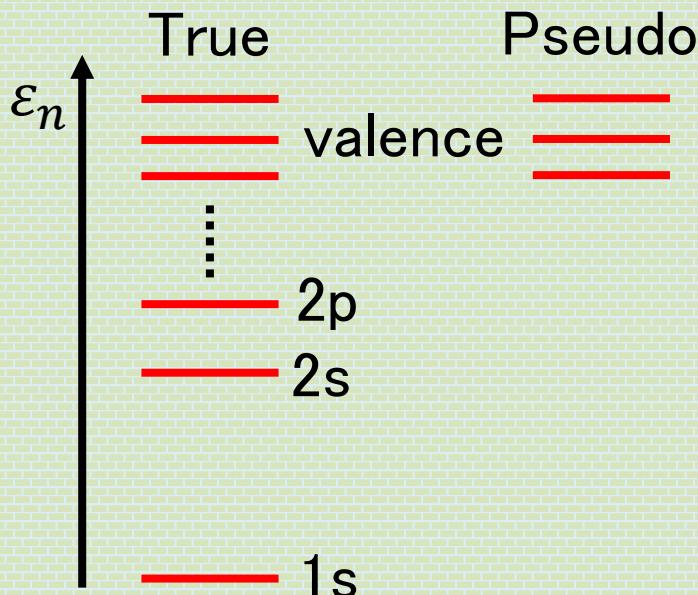
$$\hat{v}_{KS} \tilde{u}_{n\mathbf{k}}(\mathbf{G}) = \int_{uc} d^3 r \frac{e^{-i\mathbf{G} \cdot \mathbf{r}}}{\sqrt{V_{uc}}} v_{KS}(\mathbf{r}) u_{n\mathbf{k}}(\mathbf{r})$$

# Pseudopotential

Electronic state at core level (e.g. 1s state for Fe)

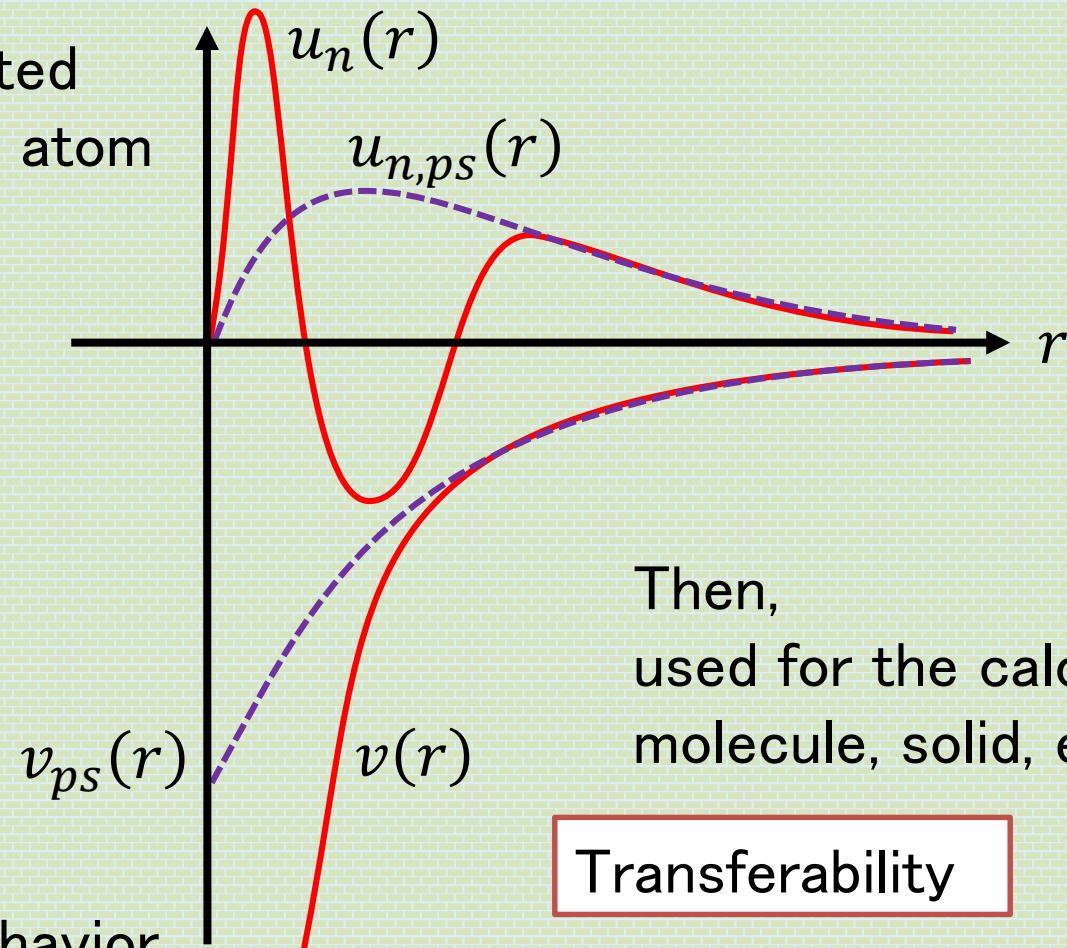
hardly affects the chemical properties  
(chemical reaction, conduction, superconductivity, etc.)

Pseudopotential is generated  
in the calculation of single atom



only reproduce the  
energy and long-range behavior  
of valence state.

Similar to the potential of an ion.



Then,  
used for the calculation of  
molecule, solid, etc.

## Transferability

- Norm conserving
- Ultrasoft
- Projector augmented wave

# QuantumESPRESSO:

## plane wave and pseudopotential based code

Before the explanation, we should start to install because it takes long time.

mac OSX in ECCS

```
$ mkdir -p ~/bin/  
$ echo 'export PATH=$HOME/bin:$PATH' >> ~/.profile  
$ git clone https://gitlab.com/QEF/q-e.git -b qe-6.3 ~/q-e  
$ cd ~/q-e/  
$ ./configure CC=gcc-8; make pw pp; cp bin/* ~/bin/
```

Prompt. We do not need type this.

# Quantum ESPRESSO

Web page : <https://www.quantum-espresso.org/>

Developer : P Giannozzi (University of Udine, Italy), *et al.* (Many)

Language : Fortran (Mainly)

Feature:

- Total energy, force, MD, chemical reaction path, charge/spin density
- Band structure, density of states, Fermi surfaces
- LDA, GGA, Van der Waals, exact exchange functional
- MPI+OpenMP parallelism, GPGPU
- Phonon and electron–phonon interaction
- X–ray absorption spectra, Optical spectra
- Many–body perturbation theory (GW, Bethe–Salpeter eq.)
- Wannier function, Berry’s phase
- Etc.

Manuals : <https://www.quantum-espresso.org/resources/users-manual>

Pseudopotential library

- SSSP (<https://www.materialscloud.org/discover/sssp/table/efficiency>)
- PSLibrary (<https://www.quantum-espresso.org/pseudopotentials>)

# Visualization tools

## FermiSurfer : Fermi-surface viewer

```
$ git clone https://scm.osdn.net/gitroot/fermisurfer/fermisurfer.git -b 1.10.1 ~/fermisurfer  
$ cd ~/fermisurfer/  
$ touch Makefile.in aclocal.m4 configure  
$ ./configure --prefix=$HOME; make; make install
```

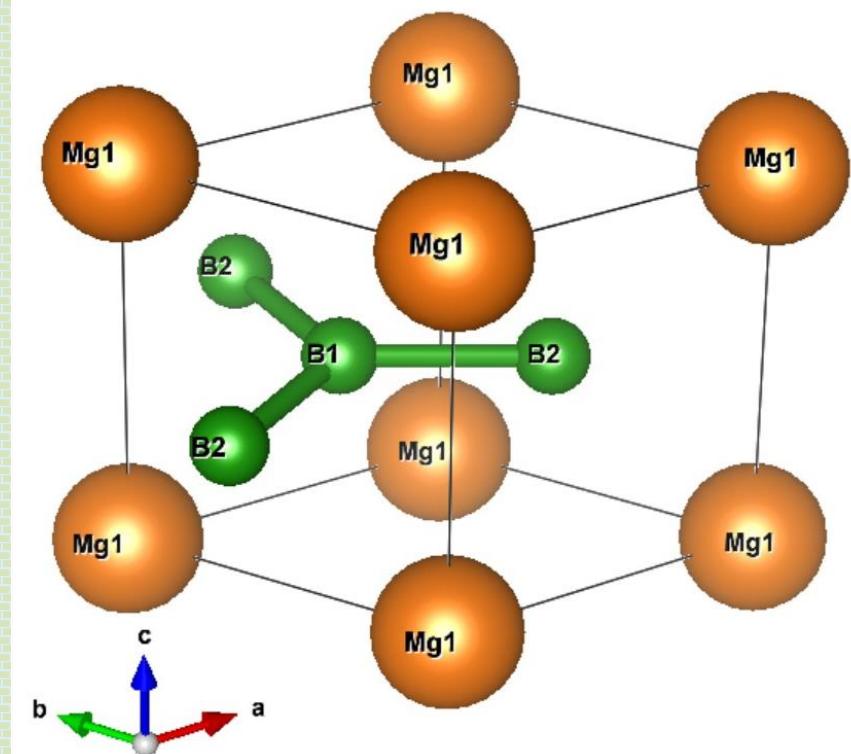
## VESTA : Crystal-structure viewer (Also charge density, etc.)

```
$ wget https://jp-minerals.org/vesta/archives/3.4.5/VESTA.dmg  
$ hdiutil mount VESTA.dmg  
$ cp -rf /Volumes/VESTA/VESTA ~/  
$ echo 'alias vesta="open -a $HOME/VESTA/VESTA.app"' >> ~/.profile  
$ source ~/.profile
```

# Tutorial : MgB<sub>2</sub>

```
$ wget https://osdn.net/projects/educational-pwdft/storage/113018.tgz  
$ tar xzvf 113018.tgz -C ~/  
$ cd ~/113018/  
$ vesta mgb2.xsf
```

- Metal
- Superconductivity :  $T_C = 39$  K
- Stacked Mg triangular lattice and B honeycomb lattice



# Structure optimization & input file

```
$ mpirun -np 2 ~/bin/pw.x -npool 2 -in relax.in | tee relax.out
```

```
&CONTROL
  calculation = 'vc-relax'
  pseudo_dir = './'
/
&SYSTEM
  ibrav = 0
  nat = 3
  ntyp = 2
  ecutwfc = 35.000000
  ecutrho = 280.000000
  occupations = 'tetrahedra_opt'
/
&ELECTRONS
/
&IONS
/
&CELL
/
```

Variation-cell structure relaxation

Number of atoms in the unit cell

Number of kinds of atom

Cutoff energy of plane-waves for  
wave functions [Ry]

$$u_{nk}(\mathbf{r}) = \sum_{\mathbf{G}} \tilde{u}_{nk}(\mathbf{G}) e^{i\mathbf{G}\cdot\mathbf{r}}$$

Cutoff depends on the pseudopotential

Cutoff energy of plane-waves for  
the charge density [Ry]

Integration scheme for the  
numerical integration in

$$\rho(\mathbf{r}) = 2 \frac{1}{V_{BZ}} \int_{BZ} d^3k \sum_{n=1}^{\infty} |u_{nk}(\mathbf{r})|^2 \theta(\varepsilon_F - \varepsilon_{nk})$$

# Input-file format

```

CELL_PARAMETERS angstrom
 3.200000 0.000000 0.000000
 -1.600000 2.771281 0.000000
 0.000000 0.000000 3.700000
ATOMIC_SPECIES
B 10.811000 b_pbe_v1.4.uspp.F.UPF
Mg 24.305000 Mg.pbe-n-kjpaw_ps1.0.3.0.UPF
ATOMIC_POSITIONS crystal
Mg 0.000000 0.000000 0.000000
B 0.333333 0.666667 0.500000
B 0.666667 0.333333 0.500000
K_POINTS automatic
12 12 8 0 0 0

```

Unit lattice vectors ( $\text{\AA}$  unit)

Element

Relative atomic mass

Pseudopotential file name

Atomic position in the  
fractional coordinate

$$\mathbf{R} = x_1 \mathbf{a}_1 + x_2 \mathbf{a}_2 + x_3 \mathbf{a}_3$$

$k$ -mesh for numerical integration in

$$\rho(\mathbf{r}) = 2 \frac{1}{V_{BZ}} \int_{BZ} d^3k \sum_{n=1}^{\infty} |u_{nk}(\mathbf{r})|^2 \theta(\varepsilon_F - \varepsilon_{nk})$$

Dense  $k$ -mesh improves accuracy and increases numerical cost.

# Result

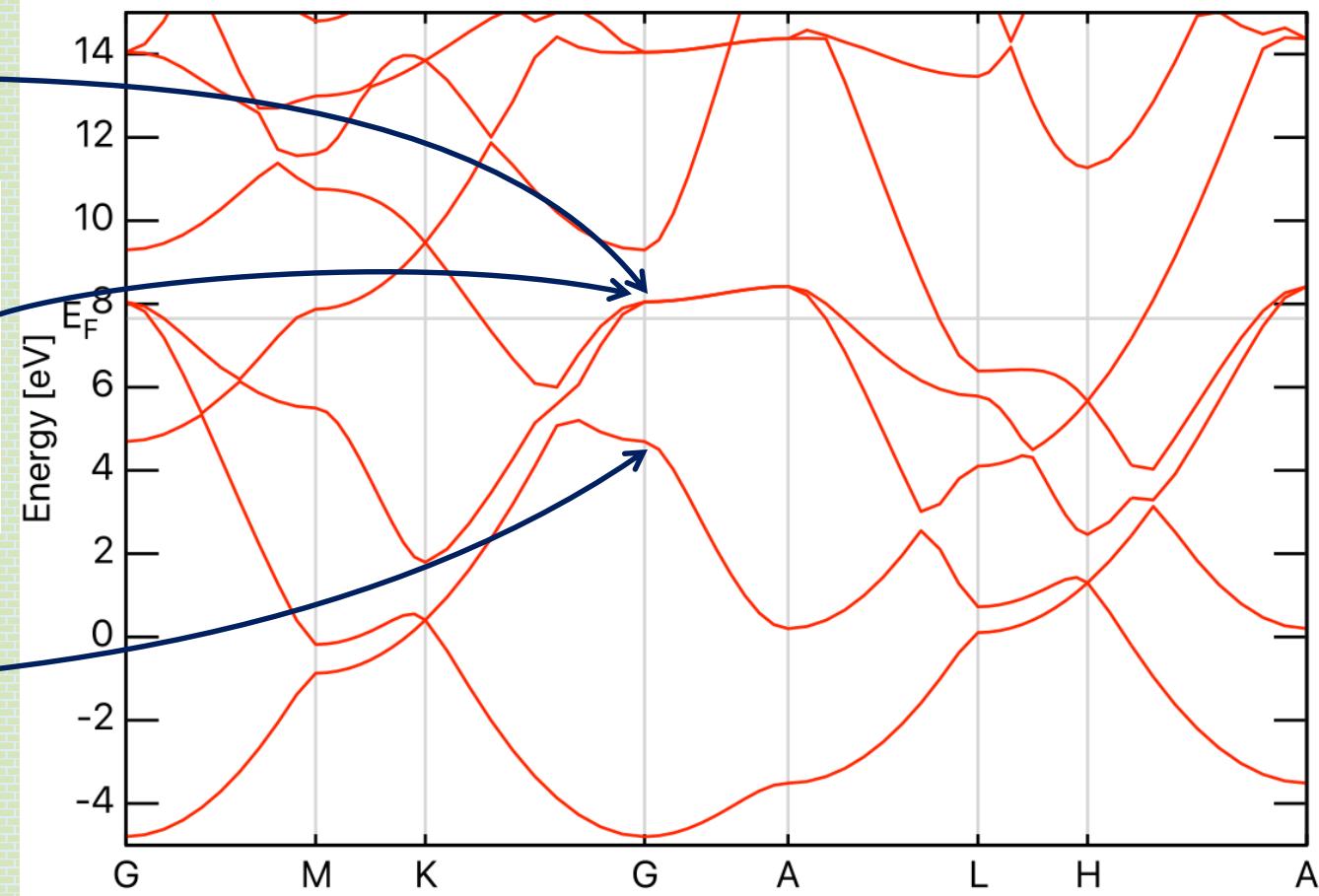
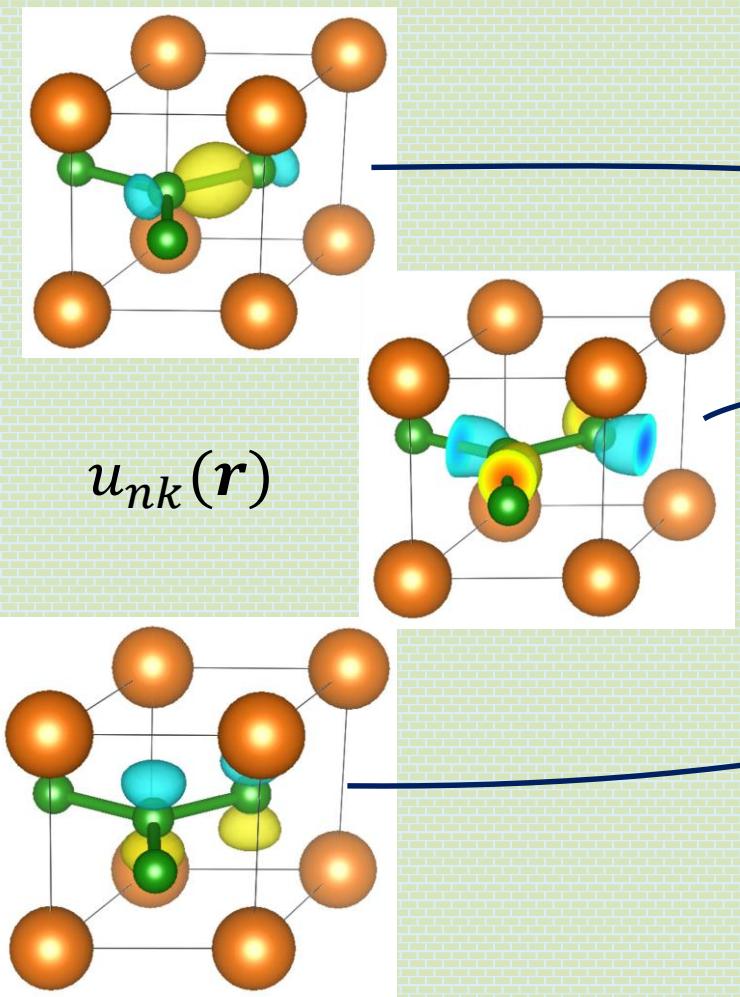
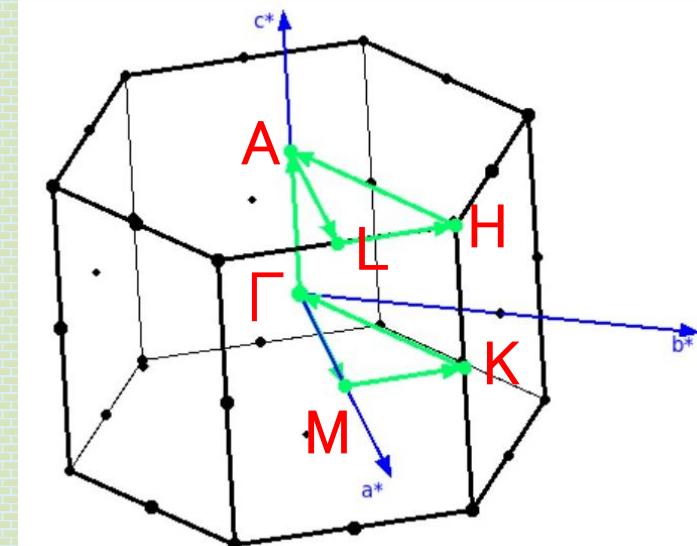
```
$ grep volume relax.out
relax.out:      unit-cell volume      =      221.4261 (a.u.)^3
relax.out:      new unit-cell volume = 204.22304 a.u.^3 ( 30.26273 Ang^3 )
relax.out:      new unit-cell volume = 193.53924 a.u.^3 ( 28.67956 Ang^3 )
relax.out:      new unit-cell volume = 194.50016 a.u.^3 ( 28.82195 Ang^3 )
relax.out:      new unit-cell volume = 194.38563 a.u.^3 ( 28.80498 Ang^3 )
relax.out:      new unit-cell volume = 194.25661 a.u.^3 ( 28.78586 Ang^3 )
relax.out:      new unit-cell volume = 194.25661 a.u.^3 ( 28.78586 Ang^3 )
relax.out:      unit-cell volume      =      194.2566 (a.u.)^3
$ grep -A 3 CELL_PARAMETERS relax.out
CELL_PARAMETERS (angstrom)
 3.106677678  0.000000000  -0.000000000
 -1.553338839  2.690461490  0.000000000
  0.000000000  -0.000000000  3.620638395
:
--
CELL_PARAMETERS (angstrom)
 3.072422829  0.000000000  0.000000000
 -1.536211414  2.660795917  0.000000000
  0.000000000  0.000000000  3.521167214
```

Exp. (XRD)  
 $a = 3.085 \text{ \AA}$ ,  $c = 3.523 \text{ \AA}$   
 volume :  $29.04 \text{ \AA}^3$

# Band structure

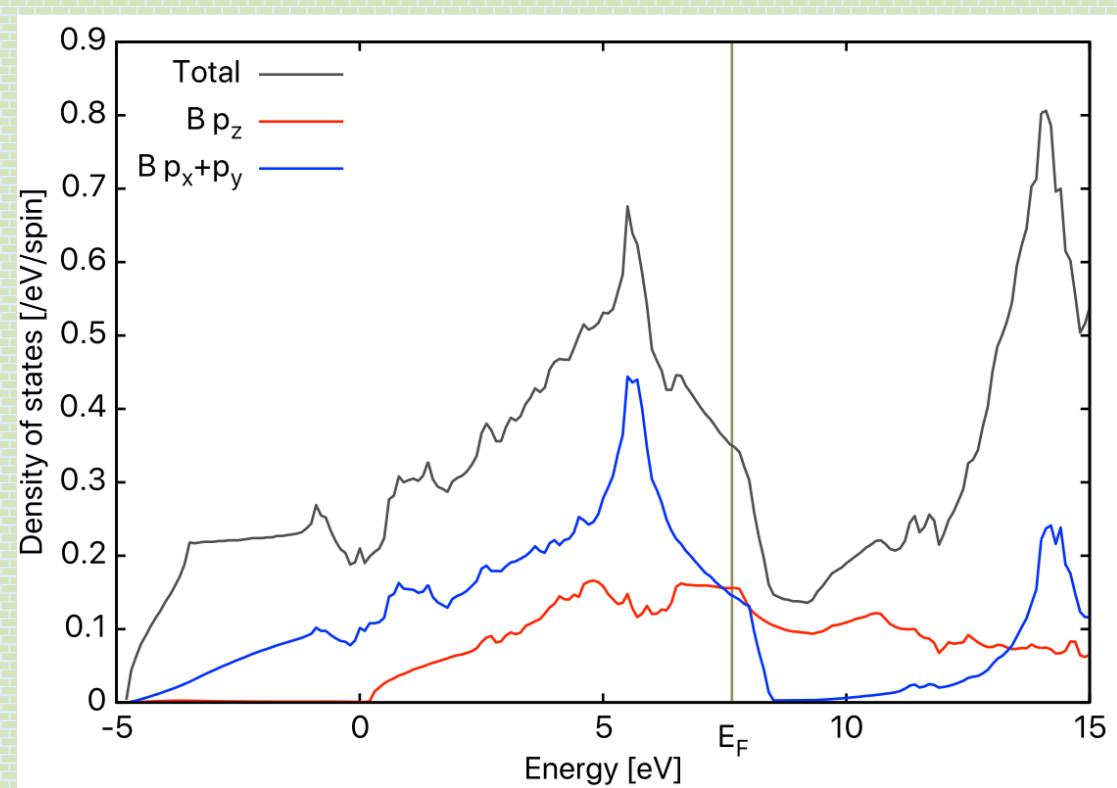
```
$ mpirun -np 2 ~/bin/pw.x -npool 2 -in nonscf.in
$ mpirun -np 2 ~/bin/bands.x -npool 2 -in bands.in
$ gnuplot band.gp
```

```
$ mpirun -np 1 ~/bin/pp.x -npool 1 -in pp.in
$ vesta tmp.pp_K001_B00*.xsf
```



# Density of states & Fermi surface

```
$ mpirun -np 2 ~/bin/pw.x -npool 2 -in dense.in
$ mpirun -np 2 ~/bin/projwfc.x -npool 2 -in pdos.in |tee pdos.out
$ gnuplot pdos.gp
```



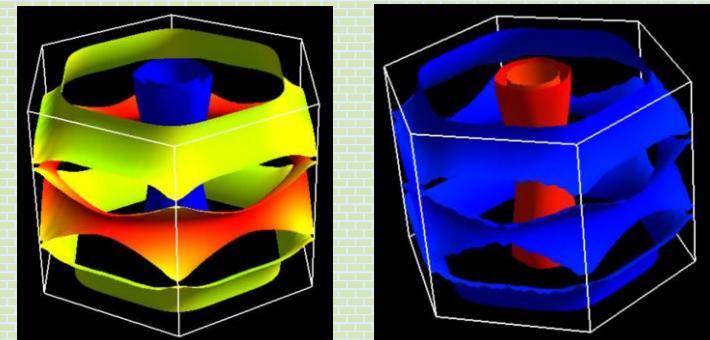
$$D(\varepsilon) = \frac{1}{V_{BZ}} \int_{BZ} d^3k \sum_{n=1}^{\infty} \delta(\varepsilon - \varepsilon_{nk})$$

$$D_p(\varepsilon) = \frac{1}{V_{BZ}} \int_{BZ} d^3k \sum_{n=1}^{\infty} \delta(\varepsilon - \varepsilon_{nk})$$

$$\times \left| \int d^3r \psi_p^*(\mathbf{r}) \varphi_{nk}(\mathbf{r}) \right|^2$$

Fermi surface :  
Isosurface at  $\varepsilon_{nk} = E_F$

```
$ mpirun -np 1 ~/bin/fermi_proj.x -in proj_pz.in
$ mv proj.frmsf pz.frmsf
$ mpirun -np 1 ~/bin/fermi_proj.x -in proj_pxy.in
$ mv proj.frmsf pxy.frmsf
$ fermisurfer pz.frmsf
$ fermisurfer pxy.frmsf
```



# Why do we perform DFT calculation ?

DFT calculation

Directly compute (predict)  
properties of materials.

Force or total energy.

Compute effective single-body state,  
Kohn-Sham orbitals.

Fit into model atomic force field.

Construct Hubbard model or  
local spin model.

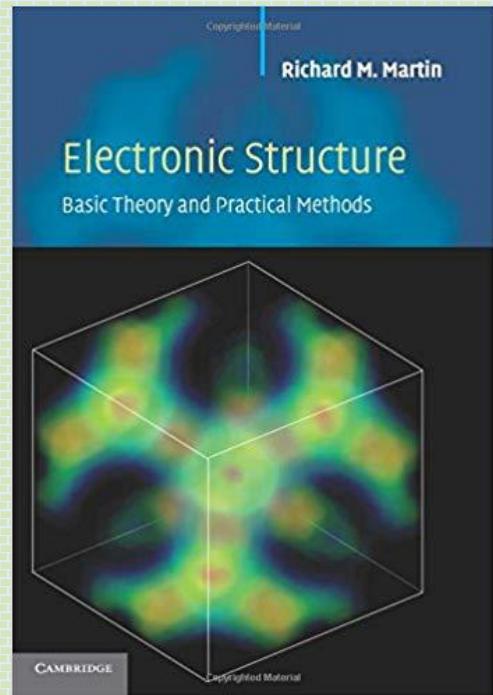
Perform classical MD for  
large-size system or log-time scale.

Perform Monte-Carlo simulation,  
exact diagonalization for more  
accurate calculation of exotic  
phenomena.

# Today's summary

- Basics of DFT calculation
  - Hohenberg–Kohn theorem
  - Kohn–Sham method
  - Exchange correlation functional
  - Total energy and force
- Kohn–Sham eq. in periodic system (bulk crystal)
  - Bloch theorem
  - Band structure
- Numerical method to solve Kohn–Sham eq.
  - Plane–wave (and other) basis
  - Pseudopotential
- Usage of a DFT code : Quantum ESPRESSO

# Reference books



Electronic Structure: Basic Theory and Practical Methods

Richard M. Martin

Cambridge University Press

Errata : [https://es.polytechnique.fr/Electronic\\_Structure/errata](https://es.polytechnique.fr/Electronic_Structure/errata)



日本語訳  
物質の電子状態 上下  
寺倉清之、寺倉郁子、善甫康成  
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現代物理学叢書  
固体—構造と物性

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